

# Executive Summary

## AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

### 1. Introduction

The purpose of the City Montgomery's PY 2024 Action Plan is to develop a viable urban community by providing decent housing, and a suitable living environment, principally for low and moderate-income persons, and programs that will address the needs of homeless and near homeless persons. The plan sets forth how three (3) HUD grants, the Community Development Block Grant (CDBG), HOME Investment Partnerships Grant (HOME), and Emergency Solutions Grant (ESG) will be used as investment priorities to achieve specific HUD objectives, outcomes, and performance measures.

### 2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The City's goals for the 2020-2024 planning period focuses on continuing neighborhood revitalization efforts, providing affordable housing and assisting low-income, public service, homeless, and special needs residents with supportive services. Specifically, the City of Montgomery will provide for the following during PY 2024:

#### **Affordable Housing:**

Increase the availability of affordable housing by supporting the following -

- Rental units rehabilitated – up to 60 Household Housing Units
- Homeowner units rehabilitated – up to 12 Household Housing Units

#### **Suitable Living Environment:**

Collaborate with local non-profit agencies/organizations as well as City of Montgomery departments to facilitate the following -

- Public facility or infrastructure activities for Low/Moderate Income Housing Benefit – up to 60 Households Assisted

- Public services activities other than Low/Moderate Income Housing Benefit – 2,305 Persons Assisted

#### **Homelessness:**

Support emergency shelters and/or homeless prevention agencies/organizations in their operation/maintenance of the following -

- Homeless person overnight shelter – 260 Persons Assisted
- Other (HMIS) – 1 Other

#### **Administration:**

General administration of programs for Program Year (PY) 2024 as follows –

- Community Development Block Grant (CDBG) – \$351,513
- HOME – \$85,271.40
- Emergency Solutions Grant (ESG) – \$11,002

### **3. Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

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The City is in the fifth year of its current Five-Year Consolidated Plan (PY 2020-2024). The City's PY 2023 CAPER is currently being prepared for submission to HUD and the following information on evaluation of past performance is being provided for the PY 2024 Action Plan.

Within the Five-Year Consolidated Plan, under Homelessness, the goal is to expand housing and services offered to homeless families and individuals in Montgomery. In line with this goal, the objectives set forth are to support tenant-based rental assistance/rapid rehousing programs. Two (2) organizations were provided ESG funds to meet this goal through existing emergency shelter and homeless prevention services. At the end of PY 2022, the City's percentage of completeness was at 126.7%. The City exceeded its goal by 26%. Another goal was the provision of homeless person overnight shelter. The objectives set forth were to improve awareness and provide access to emergency shelter services. After PY 2022, the percentage of completeness of this goal was 103.68%. However, as a result of the tremendous need for emergency shelter services, the City will continue to increase the accessibility of such assistance to members of the affected population.

The overall goal for affordable housing under previous plans has been to improve quality and availability of affordable housing by at least 25 units. With regard to public facility or infrastructure in support of affordable housing, the PJ's objective will be met or exceeded for the number of households assisted during the 2020-2024 Strategic Plan period. Through the first four years, which were addressed by the consolidated plan, one (1) CDBG Subrecipient has provided housing rehabilitation in the form of critical ("emergency") home repairs for at least twenty-seven (27) low-to-moderate income homeowners. All 27 rehabilitated homes are owner occupied. By the end of PY 2023, the PJ has used HOME funds to place two (2) rehabilitated affordable units and sixty-two (62) new affordable units into service for tenant occupancy.

The PJ anticipates exceeding HOME funded housing goals within the fifth year of the Plan.

The PJ sought but did not certify any new Community Housing Development Organizations (CHDOs) during the strategic period.

Under Non-Housing Community Development, the City has designated four (4) goals – 1) Public Facility/Infrastructure Activities (other than low-moderate income housing benefit) - in the first four years of the Consolidated Plan this goal was met and exceeded at 1,095.75%; 2) Public Facility/Infrastructure Activities (low/moderate income housing benefit) - this goal was reported in the PY 2022 CAPER as being 60% complete and in the PY 2023 CAPER as being 560% complete; 3) Public Service Activities (other than housing benefit) – in PY 2023, this goal was met and exceeded at 226.73%; and, 4) Jobs created/retained – the City has not met this goal. During PY 2020 - 2024, the City funded non-profit organizations for public services activities in CDBG funding for activities such as free/reduced fee health services for those experiencing chronic medical conditions through primary care, medication, cardiac rehab services, dental clinic, diabetes self-management, and nutrition education; academic enrichment and mentoring for youth; implementation of positive character and social skills development program for at-risk youth; and, mentoring/tutoring program for at-risk youth during summer months and after school.

#### **4. Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

During the development of the Action Plan, the City of Montgomery reports the following regarding citizen participation and consultation:

- Advertisement in a local newspaper of general circulation (Montgomery Advertiser) was printed on May 28, 2024 for a 30-day comment period. The comment period was between May 28, 2024 and ended on June 27, 2024. The City's proposed PY 2024 Action Plan was submitted to City Council for review and approved by Resolution on July 2, 2024.

During the 30-day comment period, the City received no comments from the public in its final version of the PY 2024 Action Plan. Please see referenced advertisement in Section AD-26 of this Plan.

**5. Summary of public comments**

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

No comments were received during the public comment period for the City's PY 2024 Annual Action Plan.

**6. Summary of comments or views not accepted and the reasons for not accepting them**

There were no summary of comments or views not accepted because there were no comments made during the public comment period for the City's PY 2024 Annual Action Plan.

**7. Summary**

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**PR-05 Lead & Responsible Agencies – 91.200(b)**

**1. Agency/entity responsible for preparing/administering the Consolidated Plan**

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	MONTGOMERY	Department of Community Development
HOME Administrator	MONTGOMERY	Department of Community Development
ESG Administrator	MONTGOMERY	Department of Community Development

**Table 1 – Responsible Agencies**

**Narrative (optional)**

The Department of Community Development's Community Development Division, serves as the lead agency for the CDBG, HOME and ESG Programs. During the preparation of the PY 2020-2024 Consolidated Plan, the City solicited input from governmental agencies as well as various public and private agencies providing health and social services. The City will continue to form new partnerships with non-profit organizations, the private sector and other local resources to advance the priorities outlined in this Consolidated Plan.

Funds are provided to non-profit organizations (or for-profit housing developers) through a competitive application process. The Community Development Division will take the lead to ensure appropriate coordination of the following:

- Providing technical assistance to potential Subrecipients;
- Carry out the statutory requirements of the CDBG, HOME, and ESG Programs; and,
- Manage planning studies for neighborhoods

**Consolidated Plan Public Contact Information**

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## **AP-10 Consultation – 91.100, 91.200(b), 91.215(I)**

### **1. Introduction**

The Consultation Process for Program Year (PY) 2024 Action Plan consisted of conducting meetings and discussions with City government leaders, key stakeholders and social agencies to decide which agencies, projects and activities that would be funded. The activities considered for funding were based on the results and priorities derived during the Consolidated Plan process. The consultation was similar to the Citizen Participation Process whereby separate meetings were held for agency and service providers. Once the projects were selected for the Action Plan, copies of the draft Action Plan were placed on the City's website as well as made available in the Community Development Office for public review and comment. The availability of the Plan and locations for review are indicated in the City's advertisement of Public Notice placed in the Montgomery Advertiser and attached at AD-26 in the Grantee's Appendices.

### **Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))**

In preparing the PY 2024 Action Plan, the City of Montgomery conducted consultations with key stakeholders in an effort to have an in-depth understanding of agencies and their missions, impediments, and funding needs. In addition to selecting projects for the Plan, discussions included how to best form partnerships and collaborations for leveraging other federal, state, and local funding in an effort to serve a greater population. Consultation for this Action Plan took place with the following: 1) City of Montgomery government to include the Mayor's office, City Council, Department of Community Development/Community Development Division, Public Works, Engineering, Information Technology, and Parks and Recreation; 2) State agencies - Alabama Department of Public Health, Alabama Department of Environmental Management (ADEM), and Alabama Department of Economic and Community Affairs (ADECA); 3) Affordable housing provider agencies, fair housing, and homeowner rehabilitation for low-income persons and families such as Aletheia House, Inc., Community Action Partners of North Alabama (CAPNA) which serve as the City's Community Housing Development Organizations (CHDOs), affordable housing partner, Heritage Training and Career Center, Inc., and the Montgomery Housing Authority (MHA), which provides public housing to low-income persons and families, and Central Alabama Fair Housing Center (CAFHC) - fair housing services; 3) Public Service providers such as the Boys & Girls Clubs of the River Region, Montgomery Education Foundation, Montgomery STEP Foundation - all provide services to at-risk youth; Montgomery Area Wellness Coalition, Health Services, Inc., and Medical Outreach Ministries - agencies providing healthcare programs to uninsured/underinsured residents in the City of Montgomery; Carastar Health (formerly Montgomery Area Mental Health Authority, Inc.) - provider of behavioral and mental health services; 4) Homelessness and Domestic Violence providers such as Mid-Alabama Coalition for the Homeless (MACH) - responsible for the Continuum of Care; and, the Montgomery Area Violence Program d/b/a

Family Sunshine Center - the City's domestic violence and sexual assault agency provider and Friendship Mission (Men's Shelter and Women's Shelter - 2 separate shelters); HandsOn River Region - provider of Homeless Management Information System data and information; and, 5) WOW Internet Service providers to leverage resources in order to continue facilitating broadband services as well as filling the gap in digital divide.

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

The City of Montgomery has a long standing relationship with the Mid-Alabama Coalition for the Homeless (MACH). MACH serves as the Continuum of Care organization - a requirement by HUD for the City of Montgomery. MACH addresses the needs of the homeless in five Alabama counties: Montgomery, Autauga, Bullock, Elmore, and Lowndes. As the Continuum of Care for this area, MACH is responsible for examining homelessness issues, devising methods to alleviate homelessness, providing services to the homeless and to those at risk of becoming homeless and conducting a yearly survey through the Point-In-Time (PIT) Count of homeless individuals within its geographic boundaries.

Community Development Staff (ESG Program Manager, Grants Administrator, and Director of Community Development) plays an active role in MACH's organization. City attendance and participation in MACH meetings plays a crucial role in its ability to receive funding from HUD through the Continuum of Care (CoC) Competition. Community Development staff also plays a crucial role in the Peer Review Selection Process for the CoC Application process by serving on a committee for application presentation and review. During 2022, the Mayor formed a committee derived of homeless agency providers, the CoC, Community Development Staff, and the HMIS provider to combat chronic homelessness. Throughout PY 2023, the committee continued to fight chronic homelessness. The Community Development Department Director will continue to meet with all shelter providers and the COC to advance the planning process of assessing past homelessness activities and lay groundwork for a new plan to address homelessness for the City focusing on street outreach and coordinated entry and assessment.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

The Mid-Alabama Coalition for the Homeless (MACH) serves in the capacity of partner and advisor to the City and Community Development Staff regarding ESG regulations and policy. MACH plays a major role in assisting City staff in developing its ESG application process, evaluating, scoring and selecting applicants for ESG funding. MACH can also apply for the City's ESG funds, but it is not allowed to evaluate and select its own application. MACH manages Homeless Prevention and Rapid-Rehousing



project activities within the HESG Program for the City. MACH also plays a key role in assessing the City's current climate for homelessness and devising a new long-term plan for assisting this population.

The Homeless Management Information System (HMIS) is a computerized database that allows organizations that provide services to people experiencing homelessness to collect client information, track services and generate reports. HMIS is managed by a nonprofit organization called HandsOn River Region (formerly Volunteer and Information Center). HandsOn River Region services a five-county River Region in Central Alabama to include Montgomery, Autauga, Elmore, Lowndes, and Macon Counties.

MACH partners with HandsOn River Region in HMIS as part of the Continuum of Care to provide standardized and timely information to improve access to housing and services, and strengthen the efforts to end homelessness. Together, MACH assists HandsOn with HMIS in collecting individual client information (gender, age, ethnicity, etc.), household information (housing status, services provided, income, etc.), allowing providers to selectively share client data with other service providers, and producing reports required by the U.S. Dept. of Housing & Urban Development, City of Montgomery Community Development Office and other local and state funding programs.

**2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities**

**Table 2 – Agencies, groups, organizations who participated**

1	<b>Agency/Group/Organization</b>	MONTGOMERY AREA WELLNESS COALITION-MAWC
	<b>Agency/Group/Organization Type</b>	Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City arranged group agency meetings with a broad community audience. These agencies completed surveys with the general public and discussed particular topics important within its own targeted population (homeless). The City will coordinate future activity between itself and the agencies as it relates to future City/HUD funding and other possible resources.
2	<b>Agency/Group/Organization</b>	FAMILY SUNSHINE CENTER
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Victims of Domestic Violence Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Families with children Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City arranged group agency meetings with a broad community audience. These agencies completed surveys with the general public and discussed particular topics important within its own targeted population (homeless). The City will coordinate future activity between itself and the agencies as it relates to future City/HUD funding and other possible resources.

3	<b>Agency/Group/Organization</b>	HandsOn River Region
	<b>Agency/Group/Organization Type</b>	Services-homeless Homeless Management Information System (HMIS)
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City arranged group agency meetings with a broad community audience. These agencies completed surveys with the general public and discussed particular topics important within its own targeted population (homeless). The City will coordinate future activity between itself and the agencies as it relates to future City/HUD funding and other possible resources.
4	<b>Agency/Group/Organization</b>	Friendship Mission Inc.
	<b>Agency/Group/Organization Type</b>	Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Families with children
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City arranged group agency meetings with a broad community audience. These agencies completed surveys with the general public and discussed particular topics important within its own targeted population (homeless). The City will coordinate future activity between itself and the agencies as it relates to future City/HUD funding and other possible resources.
6	<b>Agency/Group/Organization</b>	MEDICAL OUTREACH MINISTRIES
	<b>Agency/Group/Organization Type</b>	Services-Health

	<b>What section of the Plan was addressed by Consultation?</b>	Healthcare for uninsured/underserved low-income population
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City arranged group agency meetings with a broad community audience. These agencies completed surveys with the general public and discussed particular topics important within its own targeted population (healthcare for uninsured/underinsured low-income residents). The City will coordinate future activity between itself and the agencies as it relates to future City/HUD funding and other possible resources.
7	<b>Agency/Group/Organization</b>	Alabama Department of Public Health
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Health Other government - State
	<b>What section of the Plan was addressed by Consultation?</b>	Lead-based Paint Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City does not have, nor anticipate having construction/home rehabilitation projects where lead-based paint hazards are present. The City will continue its communication with the ADPH and consult with them when presented with lead-based paint hazards in home rehabilitation projects where children under the age of six (6) reside. The agency completed surveys with the general public and discussed particular topics related to lead-based paint. The City will coordinate future activity between itself and the agency as it relates to future City/HUD funding and other possible resources.
8	<b>Agency/Group/Organization</b>	Boys and Girls Clubs of the River Region
	<b>Agency/Group/Organization Type</b>	At-risk youth
	<b>What section of the Plan was addressed by Consultation?</b>	Public Services - at-risk youth

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City arranged group agency meetings with a broad community audience. These agencies completed surveys with the general public and discussed particular topics important within its own targeted population (community development public service - mentoring/tutoring at-risk youth). The City will coordinate future activity between itself and the agencies as it relates to future City/HUD funding and other possible resources.
9	<b>Agency/Group/Organization</b>	MONTGOMERY STEP FOUNDATION
	<b>Agency/Group/Organization Type</b>	At-risk youth
	<b>What section of the Plan was addressed by Consultation?</b>	Public Services - at-risk youth, mentoring/tutoring
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City arranged group agency meetings with a broad community audience. These agencies completed surveys with the general public and discussed particular topics important within its own targeted population (community development public service - at-risk youth, mentoring/tutoring). The City will coordinate future activity between itself and the agencies as it relates to future City/HUD funding and other possible resources.
10	<b>Agency/Group/Organization</b>	Montgomery Education Foundation
	<b>Agency/Group/Organization Type</b>	Services-Education
	<b>What section of the Plan was addressed by Consultation?</b>	Public Service
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City arranged group agency meetings with a broad community audience. These agencies completed surveys with the general public and discussed particular topics important within its own targeted population (community development public service - education). The City will coordinate future activity between itself and the agencies as it relates to future City/HUD funding and other possible resources.

11	<b>Agency/Group/Organization</b>	Alabama Department of Economic and Community Affairs
	<b>Agency/Group/Organization Type</b>	Agency - Managing Flood Prone Areas Agency - Management of Public Land or Water Resources Other government - State
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City consulted via conference telephone calls as well as agency meetings. The outcome was to coordinate and leverage additional resources relating to planning, storm water management, land use, as well as flood mitigation (and mitigating services). The City will coordinate future activity between itself and the agency as it relates to future HUD funding and other possible resources.
12	<b>Agency/Group/Organization</b>	CITY OF MONTGOMERY
	<b>Agency/Group/Organization Type</b>	Agency - Managing Flood Prone Areas Agency - Management of Public Land or Water Resources Agency - Emergency Management Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Neighborhood resources, community development, and other services listed below

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City arranged meetings/conducted telephone conference calls with a broad community audience including departments of its own local government. Outcomes derived from discussions with these departments resulted in improving coordination of and leveraging resources discussed particular topics important within its own targeted population (neighborhood and community development); Information Technology (through discussions with Montgomery Public School System and internet service providers; Engineering/Emergency Management (flood mitigation/stormwater management/emergency management), Public Works; Community Development Land Use; Neighborhood Services Department (formerly BONDS - Building our Neighborhoods for Development and Success) and, Parks and Recreations (community centers and facilities). The City will coordinate future activity between itself and the departments as it relates to future City/HUD funding and other possible resources.
13	<b>Agency/Group/Organization</b>	WOW Internet Service
	<b>Agency/Group/Organization Type</b>	Services - Broadband Internet Service Providers Services - Narrowing the Digital Divide
	<b>What section of the Plan was addressed by Consultation?</b>	Broadband Internet Services
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City consulted via conference telephone calls. Outcome was to coordinate and leverage additional resources in order to continue facilitating broadband services as well as filling the gap in digital divide - even after the COVID pandemic within low-income areas of the city and eligible families. The City will coordinate future activity between itself and the agency as it relates to future HUD funding and other possible resources.
14	<b>Agency/Group/Organization</b>	Central Alabama Fair Housing Center
	<b>Agency/Group/Organization Type</b>	Service-Fair Housing

	<b>What section of the Plan was addressed by Consultation?</b>	Fair housing needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City arranged group agency meetings with a broad community audience. These agencies completed surveys with the general public and discussed particular topics important within its own targeted population (fair housing services). The City will coordinate future activity between itself and the agencies as it relates to future City/HUD funding and other possible resources.
15	<b>Agency/Group/Organization</b>	Heritage Training and Career Center
	<b>Agency/Group/Organization Type</b>	Services - Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City arranged group agency meetings with a broad community audience. These agencies completed surveys with the general public and discussed particular topics important within its own targeted population (housing rehabilitation - critical repairs). The City will coordinate future activity between itself and the agencies as it relates to future City/HUD funding and other possible resources.
16	<b>Agency/Group/Organization</b>	Aletheia House, Inc.
	<b>Agency/Group/Organization Type</b>	Services - Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City arranged group agency meetings with a broad community audience. These agencies completed surveys with the general public and discussed particular topics important within its own targeted population (affordable housing). The City will coordinate future activity between itself and the agencies as it relates to future City/HUD funding and other possible resources.



17	<b>Agency/Group/Organization</b>	Community Action Partners of North Alabama
	<b>Agency/Group/Organization Type</b>	Services - Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City arranged group agency meetings with a broad community audience. These agencies completed surveys with the general public and discussed particular topics important within its own targeted population (affordable housing). The City will coordinate future activity between itself and the agencies as it relates to future City/HUD funding and other possible resources.
18	<b>Agency/Group/Organization</b>	Montgomery Housing Authority
	<b>Agency/Group/Organization Type</b>	PHA
	<b>What section of the Plan was addressed by Consultation?</b>	Public Housing Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City arranged group agency meetings with a broad community audience. These agencies completed surveys with the general public and discussed particular topics important within its own targeted population (public housing needs). The City will coordinate future activity between itself and the agencies as it relates to future City/HUD funding and other possible resources.
19	<b>Agency/Group/Organization</b>	Carastar Health
	<b>Agency/Group/Organization Type</b>	Services-Health Publicly Funded Institution/System of Care
	<b>What section of the Plan was addressed by Consultation?</b>	Mental Health Services

<p><b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b></p>	<p>The City arranged group agency meetings with a broad community audience. These agencies completed surveys with the general public and discussed particular topics important within its own targeted population (healthcare/mental health facilities and services). The City will coordinate future activity between itself and the agencies as it relates to future City/HUD funding and other possible resources.</p>
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**Identify any Agency Types not consulted and provide rationale for not consulting**

The City made an effort to consult with a variety of agencies and organizations (housing for low-income) via public hearing, written correspondence, and phone calls. No organizations or individuals were deliberately omitted from the process.

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Mid-Alabama Coalition for the Homeless (MACH)	The City's five-year goals are a guide to the one-year goals and objectives as listed in the City's Action Plans.
Consolidated & Annual Action Plan	Alabama Department of Economic & Community Affairs (ADECA)	The City's five-year goals are a guide to the one-year goals and objectives as listed in the City's Action Plans.

**Table 3 – Other local / regional / federal planning efforts**

**Narrative (optional)**

## **AP-12 Participation – 91.105, 91.200(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting**

The Action Plan process requires a regulatory 30-day public comment period. A public notice was placed in the Montgomery Advertiser newspaper informing citizens that a draft of the City's intent usage of HUD's CDBG, HOME, and ESG Program funds during PY 2024 was available for review and comment for 30 days. A copy of the summary of the PY 2024 Action Plan was made available for public review at the Department of Community Development, Community Development Office, 25 Washington Avenue, 4th Floor, Montgomery, AL 36104 and on the City's webpage at the following link - Community Development Division | City of Montgomery, AL ([montgomeryal.gov](http://montgomeryal.gov)). The public comment period began on May 28, 2024 and ended June 27, 2024

**Citizen Participation Outreach**

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Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Newspaper Ad	Non-targeted/broad community	The draft Action Plan was advertised and made available for public comment on May 28, 2024 at the Department of Community Development, Community Development Division, 25 Washington Avenue, 4th Floor, Montgomery, AL 36104. The draft was also made available on the City's webpage at the following link - Community Development Division   City of Montgomery, AL (montgomeryal.gov) for a 30-day period ending June 27, 2024.	No comments were received during the public comment period for the City's PY 2024 Annual Action Plan.	No comments were received during the public comment period for the City's PY 2024 Annual Action Plan.	

**Table 4 – Citizen Participation Outreach**

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## Expected Resources

### AP-15 Expected Resources – 91.220(c)(1,2)

#### Introduction

For PY 2024, the City of Montgomery anticipates the availability and use of the following resources:

The CDBG Program will use its administration cap at 20% of its total annual allocation of \$1,757,565, which equals \$351,513.

The HOME Program will use its administration cap at 10% of its total annual allocation of \$852,713.98, which equals \$85,271.40.

The ESG Program will use its administration cap at 7.5% of its total allocation of \$146,691, which equals = \$11,002.

#### Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	1,757,565	0	0	1,757,565	0	CDBG funds will be used for program administration, public service activities, housing rehab, public facility improvements/rehab, and infrastructure improvements (LMH). The CDBG Program will use its administration cap at 20% of its total allocation (\$1,757,565) - \$351,513 annually

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	852,714	0	0	852,714	0	HOME funds will be used for program administration, development/new construction and rental of affordable housing, CHDO Reserve, and CHDO Operating Costs. The HOME Program will use its administration cap at 10% of its total allocation (\$852,713.98) - \$85,271.40 annually



Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	146,691	0	0	146,691	0	ESG funds will be used for program administration, operations and maintenance of homeless shelters, homeless prevention, and HMIS. The ESG Program will use its administration cap at 7.5% of its total allocation (\$146,691) - \$11,002 annually

Table 5 - Expected Resources – Priority Table

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

CDBG funds will leverage approximately \$519,448 in private and local resources in public service activities during PY 2024. CDBG funds will also leverage approximately \$19,640,345 in construction activities and \$716,650 in home rehabilitation activities from private, State, and Federal resources.

PY 2024 HOME funds will leverage approximately \$27,892,312 in private, State, and Federal funding resources for the affordable housing development of up to 60 senior housing rental units - St. Jude Apartments.

ESG funds will leverage a minimum of \$135,689 during PY 2024.

The matching requirements will be satisfied by \$5,627,080.97 in excess match by the City of Montgomery.

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**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

The St. Jude Apartment Historic Rental Rehabilitation Development is planned for funding up to 60 multifamily senior household units during the 2020-2024 Plan period on a 5.16 acre parcel with an existing 22,204 square foot apartment building, which was previously developed, subsequently experienced distress over time, and acquired by the City of Montgomery. Also, the City of Montgomery intends to fund the Montgomery Housing Authority in the provision of infrastructure at the Columbus Square Phase III development. In addition, the City has a current inventory within its jurisdiction of scattered-site lots from years of demolition that are subject to potential use in federal and other housing initiative and/or programs.

**Discussion**

The City of Montgomery asserts that all projects within the CDBG, HOME, and ESG Programs listed in AP-35 of this Plan are eligible activities.

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## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives

#### Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Affordable Housing	2020	2024	Affordable Housing Public Housing	Citywide Downtown Area & North Montgomery Westside of Montgomery Southside Montgomery	Infrastructure Housing	CDBG: \$1,142,418 HOME: \$767,443 ESG: \$0	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 60 Households Assisted Rental units rehabilitated: 60 Household Housing Unit Homeowner Housing Rehabilitated: 12 Household Housing Unit Other: 1 Other
2	Non-Housing Community Development	2020	2024	Homeless Non-Homeless Special Needs Non-Housing Community Development	Citywide Downtown Area & North Montgomery	Public Services	CDBG: \$263,634 HOME: \$0 ESG: \$0	Public service activities other than Low/Moderate Income Housing Benefit: 2305 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
3	Homelessness	2020	2024	Homeless Non-Housing Community Development	Citywide	Homeless Services	ESG: \$135,689	Homeless Person Overnight Shelter: 260 Persons Assisted Other: 1 Other
4	Program Administration	2020	2024	Administration	Citywide Downtown Area & North Montgomery	Homeless Services Infrastructure Housing Public Services	CDBG: \$351,513 HOME: \$85,271 ESG: \$11,002	Other: 3 Other

Table 6 – Goals Summary

**Goal Descriptions**

1	Goal Name	Affordable Housing
	Goal Description	
2	Goal Name	Non-Housing Community Development
	Goal Description	
3	Goal Name	Homelessness
	Goal Description	
4	Goal Name	Program Administration
	Goal Description	

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# Projects

## AP-35 Projects – 91.220(d)

### Introduction

CDBG, HOME, and ESG projects listed in this section are eligible according to HUD Rules and Regulations under 24 CFR Part 570 (CDBG), Part 92 (HOME), and Part 576 (ESG).

### Projects

#	Project Name
1	Aid to Inmate Mothers
2	Boys & Girls Club of the River Region
3	Medical Outreach Ministries
4	Montgomery STEP Foundation
5	Heritage Training & Career Center
6	The Montgomery Housing Authority - Columbus Square Phase III
7	CDBG Administration
8	HOME Affordable Housing Development
9	HOME CHDO Operating Costs/Assistance
10	HOME Administration
11	HESG PY 2024

Table 7 - Project Information

### Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

The City of Montgomery established priorities and goals/objectives based off of public surveys as well as identification of community-based needs during its submission of a Five-Year Consolidated Plan (PY 2020-2024). Through community meetings and consultations with key stakeholders, data derived from all sources listed herein served as a guide for addressing underserved needs and priorities for selections of funding within the CDBG, HOME, and ESG Programs.

**AP-38 Project Summary**  
**Project Summary Information**

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<b>1</b>	<b>Project Name</b>	Aid to Inmate Mothers
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Non-Housing Community Development
	<b>Needs Addressed</b>	Public Services
	<b>Funding</b>	CDBG: \$65,000
	<b>Description</b>	Public Service - Limited Clientele
	<b>Target Date</b>	4/30/2025
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	20 low income individuals
	<b>Location Description</b>	660 Morgan Avenue - 36104
	<b>Planned Activities</b>	Project GENESIS, transitional housing for recently released inmates, will provide structured living for approximately 20 residents. These residents will receive drug screenings; obtaining State Identification, duplicate birth certificates, and social security cards; intensive case management; job readiness training for employment; counseling; and, life skills training in preparation for re-entry into society.
<b>2</b>	<b>Project Name</b>	Boys & Girls Club of the River Region
	<b>Target Area</b>	Downtown Area & North Montgomery
	<b>Goals Supported</b>	Non-Housing Community Development
	<b>Needs Addressed</b>	Public Services
	<b>Funding</b>	CDBG: \$53,816
	<b>Description</b>	Public Service - Limited Clientele
	<b>Target Date</b>	4/30/2025
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	1,900 at-risk youth
	<b>Location Description</b>	Westend Club - 220 Crenshaw Street - 36104 Chisholm Club - 2812 Lower Wetumpka Road - 36104

	<b>Planned Activities</b>	Implementation of Project Learn at West End and Chisholm Clubs – a mentoring and tutoring program for at-risk youth designed to enhance skills and knowledge; address and emphasize parental involvement and collaboration between Club and school professions as critical factors for a successful learning environment. Clubs will operate Monday - Friday after school (3p-9p) and during summer months (9a-5p).
<b>3</b>	<b>Project Name</b>	Medical Outreach Ministries
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Non-Housing Community Development
	<b>Needs Addressed</b>	Public Services
	<b>Funding</b>	CDBG: \$73,892
	<b>Description</b>	Public Service - Limited Clientele
	<b>Target Date</b>	4/30/2025
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	300 low-moderate income individuals
	<b>Location Description</b>	Organization Address: 5741 Carmichael Parkway - 36117
	<b>Planned Activities</b>	CDBG funds will be used for MOM’s “Closing the Healthcare Gap” project to help high-risk, low-income adults access primary and specialty healthcare to manage their chronic conditions. This includes physicians and nurses, at-home monitoring equipment, medications, and transportation to appointments.
<b>4</b>	<b>Project Name</b>	Montgomery STEP Foundation
	<b>Target Area</b>	Downtown Area & North Montgomery Westside of Montgomery Southside Montgomery
	<b>Goals Supported</b>	Non-Housing Community Development
	<b>Needs Addressed</b>	Public Services
	<b>Funding</b>	CDBG: \$70,926
	<b>Description</b>	Public Service - Limited Clientele
	<b>Target Date</b>	4/30/2025

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	85 at-risk youth
	<b>Location Description</b>	Chisholm Elementary 307 E Vandiver Blvd - 36110 Seth Johnson Elementary 4550 Narrow Lane Rd - 36116 McKee Middle School 4017 McInnis Rd - 36116 Southlawn Middle School 5333 Mobile Hwy - 36108
	<b>Planned Activities</b>	S.T.E.P. will provide two (2) full-time Prevention Specialists to establish an effective character, life, social, and violence prevention skills program through its Youth Violence and Aggression Reduction project available to low-income, at-risk students at Seth Johnson Elementary School, Chisholm Elementary School, McKee Middle School, and Southlawn Middle School.
5	<b>Project Name</b>	Heritage Training & Career Center
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Affordable Housing
	<b>Needs Addressed</b>	Housing
	<b>Funding</b>	CDBG: \$182,358
	<b>Description</b>	Housing Rehabilitation
	<b>Target Date</b>	12/31/2025
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Up to 12 low-moderate income homeowner occupied single family dwellings
	<b>Location Description</b>	Project address: Locations will vary according to applicant property address (citywide) Organization address: 2249 Congressman W.L Dickinson Drive - 36109
<b>Planned Activities</b>	Provision of a Critical Home Repair Housing Rehabilitation Program to assist low-moderate income homeowners.	
6	<b>Project Name</b>	The Montgomery Housing Authority - Columbus Square Phase III
	<b>Target Area</b>	Downtown Area & North Montgomery
	<b>Goals Supported</b>	Affordable Housing
	<b>Needs Addressed</b>	Infrastructure

	<b>Funding</b>	CDBG: \$960,060
	<b>Description</b>	Public housing - infrastructure improvements
	<b>Target Date</b>	4/30/2026
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	60 low-moderate income households
	<b>Location Description</b>	Project location - 432 N. Union Street - 36104
	<b>Planned Activities</b>	Infrastructure improvements (sewer, street, sidewalk, curb and/or gutter)
	<b>7</b>	<b>Project Name</b>
<b>Target Area</b>		Citywide
<b>Goals Supported</b>		Program Administration
<b>Needs Addressed</b>		Infrastructure Housing Public Services
<b>Funding</b>		CDBG: \$351,513
<b>Description</b>		General Administration
<b>Target Date</b>		4/30/2025
<b>Estimate the number and type of families that will benefit from the proposed activities</b>		N/A
<b>Location Description</b>		25 Washington Avenue, 4th Floor - 36104
<b>Planned Activities</b>	General Program Administration	
<b>8</b>	<b>Project Name</b>	HOME Affordable Housing Development
	<b>Target Area</b>	Westside of Montgomery
	<b>Goals Supported</b>	Affordable Housing
	<b>Needs Addressed</b>	Housing
	<b>Funding</b>	HOME: \$724,807
	<b>Description</b>	HOME Entitlement (EN) and CHDO Reserve (CR) funds
	<b>Target Date</b>	4/30/2026

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Up to 60 low income households
	<b>Location Description</b>	2018 W. Fairview Avenue - 36108
	<b>Planned Activities</b>	Funds will be used in the form of loans for construction and/or permanent or gap financing to develop and construct affordable rental housing units, which may include projects such as the proposed St. Jude Historic Rental Rehabilitation development consisting of up to 60 multifamily senior household units, and/or other projects yet to be determined at the time of this Plan’s approval. HOME assisted units may or may not be dedicated to special needs populations, i.e. senior citizens, HOME-ARP Program qualifying populations, those requiring accessibility assistance, and/or others, etc. At least 15% of the PY 2024 HOME allocation (\$127,907) will be used by certified Community Housing Development Organizations (CHDOs), subject to HUD statutory requirements, for development of affordable units. The balance (\$596,900) will be made available to non-profit/for-profit developers, including CHDOs.
9	<b>Project Name</b>	HOME CHDO Operating Costs/Assistance
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Affordable Housing
	<b>Needs Addressed</b>	Housing
	<b>Funding</b>	HOME: \$42,635
	<b>Description</b>	CHDO Operating Costs
	<b>Target Date</b>	4/30/2026
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	No direct benefit to families.
	<b>Location Description</b>	PJ Statutory Limits

	<b>Planned Activities</b>	Certified CHDOs, in conducting operations for the purpose of meeting published affordable housing objectives of the PJ (not project-specific), will be assisted with HOME CHDO Operating Cost assistance grants, subject to HOME statutory limitations and restricted to eligible costs of employee salaries, wages, & benefits; employee education, training and travel; rent; utilities; communication costs; taxes; insurance; equipment; and materials and supplies (not related to direct costs of housing development).
<b>10</b>	<b>Project Name</b>	HOME Administration
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Program Administration
	<b>Needs Addressed</b>	Housing
	<b>Funding</b>	HOME: \$85,271
	<b>Description</b>	Program Administration
	<b>Target Date</b>	4/30/2026
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	N/A
	<b>Location Description</b>	25 Washington Avenue, 4th Floor - 36104
	<b>Planned Activities</b>	General Program Administration
<b>11</b>	<b>Project Name</b>	HESG PY 2024
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Homelessness Program Administration
	<b>Needs Addressed</b>	Homeless Services
	<b>Funding</b>	ESG: \$146,691
	<b>Description</b>	Emergency Shelter Operations - Family Sunshine Center (\$40,707); Friendship Mission (\$40,706); HMIS - HandsOn River Region (\$54,276); and, Program Administration @ 7.5% Cap - \$11,002
	<b>Target Date</b>	4/30/2025

	<p><b>Estimate the number and type of families that will benefit from the proposed activities</b></p>	<p>Homeless population, individuals/families at risk of becoming homeless, and/or domestic violence/battered and abused women, and ESG administration (PY 2024 ESG). Organizations listed within this project will benefit at least 260 homeless individuals.</p>
	<p><b>Location Description</b></p>	<p>Family Sunshine Center - Address Suppressed          Friendship Mission - 312 Chisholm Street - 36110          HandsOn River Region - 101 Coliseum Blvd. - 36109          Community Development (administration) - 25 Washington Avenue, 4th Floor - 36104</p>
	<p><b>Planned Activities</b></p>	<p>Operations and maintenance of homeless shelter programs, management of the Homeless Management and Information Systems (HMIS), and general Program administration</p>

## **AP-50 Geographic Distribution – 91.220(f)**

### **Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

As previously mentioned in this Annual Action Plan the City will not target one specific area/community in its jurisdiction with HUD funds. Funds will be designated in areas as the needs are derived. CDBG funds will be used to address the needs on a citywide basis with the beneficiary being an individual of low-to moderate income (limited-clientele benefit). However, activities that provide a benefit on an area basis do so in areas that are determined to be primarily residential and have a low-to moderate income population of at least 51%. HOME funds will be used to address the needs on a citywide basis with the beneficiaries being individuals/household units of low income status. ESG funds will be used to support agencies that serving the homeless population (extremely low income) on a citywide basis.

### **Geographic Distribution**

<b>Target Area</b>	<b>Percentage of Funds</b>
Citywide	46
Downtown Area & North Montgomery	27
Westside of Montgomery	26
Southside Montgomery	1

**Table 8 - Geographic Distribution**

### **Rationale for the priorities for allocating investments geographically**

The City of Montgomery does not allocate investments in target areas geographically as they are allocated to address needs throughout the jurisdiction.

### **Discussion**



## Affordable Housing

### AP-55 Affordable Housing – 91.220(g)

#### Introduction

For the PY 2024 Action Plan, the City of Montgomery plans for the rehabilitation of sixty (60) multifamily senior household units using a combination of PY 2022 and PY 2023 CDBG program funds for property acquisition and PY 2024 HOME funds for rehabilitation. In addition, the City plans to use PY 2024 CDBG funds for the rehabilitation of twelve (12) existing single-family owner occupied units for low-moderate income households. Also, the City plans to use PY 2024 CDBG funds for infrastructure improvements in support of sixty (60) residential units at Columbus Square Phase III development.

<b>One Year Goals for the Number of Households to be Supported</b>	
Homeless	0
Non-Homeless	132
Special-Needs	0
Total	132

**Table 9 - One Year Goals for Affordable Housing by Support Requirement**

<b>One Year Goals for the Number of Households Supported Through</b>	
Rental Assistance	0
The Production of New Units	60
Rehab of Existing Units	72
Acquisition of Existing Units	0
Total	132

**Table 10 - One Year Goals for Affordable Housing by Support Type**

#### Discussion

The City of Montgomery does not participate in Tenant Based Rental Assistance (TBRA) or other forms of direct rental assistance to families. However in PY 2024, the current goal is to support the acquisition and rehabilitation of at least sixty (60) existing units for senior housing under PY 2022 and PY 2023 CDBG and PY 2024 HOME program assistance; and support the rehabilitation of at least twelve (12) owner occupied housing units for low-moderate income households with PY 2024 CDBG program assistance. In addition, during PY 2024, there will be infrastructure improvements made in support of sixty (60) residential units at Columbus Square Phase III development.

## **AP-60 Public Housing – 91.220(h)**

### **Introduction**

The City will continue its efforts in partnership with its local Housing Authority to provide safe, decent, and affordable housing. CDBG funds were used in the previous Consolidated Plan for infrastructure needs at the newly redeveloped housing project - The Plaza at Centennial Hill (formerly Tulane Court) as well as Columbus Square (formerly Trenholm Court). During PY 2024, the City plans to provide CDBG funding for infrastructure development and/or improvements at the Columbus Square Housing Development Phase III to further assist MHA's population.

### **Actions planned during the next year to address the needs to public housing**

Throughout the time period addressed in the PY 2020-2024 Consolidated Plan, the City of Montgomery has continued to partner with the Montgomery Housing Authority (MHA). During PY 2024, the City plans to provide CDBG funding for infrastructure development and/or improvements at the Columbus Square Housing Development Phase III to assist MHA's population. Not only did the City provide CDBG funding for such projects during its previous Consolidated Plan but will continue to aid in expanding the current affordable housing stock available to low income households while providing an opportunity to reduce MHA's waiting list.

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

The City, in coordination with the Montgomery Housing Authority (MHA), shall continue to make information concerning affordable homeowner opportunities through the City's other entitlement programs such as the HOME program available to residents of public housing as well as coordinate with public housing staff any efforts to qualify residents for homeownership. MHA also operates the Housing Choice Voucher Program (HCV). The goal of the HCV Homeownership Program is to expand homeownership opportunities for families who are current HCV program recipients. Families must meet HUD and MHA program requirements for program participation. The objective of the HCV Homeownership Program is to assist first-time homebuyer's with monthly mortgage subsidies. The program also affords low-moderate income families opportunities to invest in wealth building through home ownership.

### **If the PHA is designated as troubled, describe the manner in which financial assistance will be**

**provided or other assistance**

This PHA is not designated as a troubled entity.

**Discussion**

A positive collaboration exists between the City of Montgomery and the Montgomery Housing Authority (MHA). The entities actively engage in intergovernmental initiatives as a means to provide affordable housing and meet other quality of life requirements for individuals from low-moderate income households in the City of Montgomery.

## **AP-65 Homeless and Other Special Needs Activities – 91.220(i)**

### **Introduction**

The City is developing a homelessness reduction plan and program to address an emerging homeless crisis that includes the Downtown business district. Until the new plan is designed and implemented, the City along with MACH will continue to follow eight (8) strategies to reduce homelessness: (1) Improve methods to prevent homelessness; (2) improve outreach services; (3) improve access to services; (4) expand permanent housing options; (5) improve efforts and methods for accurately counting homeless persons; (6) expand local, state, and national partnerships as well as peer to peer opportunities; (7) provide extensive training for local shelter providers; and, (8) explore additional funding opportunities to combat homelessness. In addition, the City of Montgomery’s Homelessness Taskforce Committee, which consists of local homeless service providers, makes recommendations to the Mayor’s Office in regards to homeless issues in the City. The Committee makes policy recommendations to support efforts to assist the homeless population.

The City will continue to build and strengthen its relationship with the Continuum of Care (CoC) and other shelter providers to include local, state, and national agencies in an effort to strengthen strategies and techniques to move homeless individuals and families from homelessness to permanent housing. The City will continue to develop a coordinate homeless response system that effectively incorporate emergency shelter, homeless prevention, rapid rehousing, transitional housing, street outreach and data collection through the Homeless Management Information System (HMIS).

In addition to the city of Montgomery’s Homelessness Taskforce Committee, the Department of Community Development through the Community Development Division, has continued to develop a “Five-Year Plan to Combat Homelessness in the City of Montgomery”. This plan will be developed in conjunction with the City’s next five-year Consolidated Plan (PY 2025 – 2029). The purpose of this Plan is to develop homelessness reduction infrastructure that will allow the City to move forward in addressing homelessness in a manner that will unite all service providers with the purpose of directing more technical assistance, improving data collection, coordinating and sharing of services, and securing funding from federal, state, local, and private funding sources. The City plans to seek technical assistance from HUD and partner with local universities to develop this plan. The plan will focus on the following goals to assist homeless individuals: (1) place individuals/families on the path to temporary and/or permanent housing; (2) provide opportunities for job training; (3) identify and unite local, state, and national resources to buy into project success; (4) conduct research and collect data for tracking project progress; (5) introduce new tools for data collection and tracking; (6) conduct frequent counts for data analysis and comparison; (7) develop real-time list of homeless individuals; (8) create an engagement and protocol process for case management and story collection; (9) develop fundraising program to support the Initiative; (10) develop peer-to-peer relationship with other service providers; (11) create a homeless resource supply hub to support shelters and homeless individuals; (12) provide

public education on homelessness; and (13) strengthen local shelter programs.

**Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including**

**Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

CARES Act (ESG-CV) funding has continued to have a positive effect on the capacity of homeless service providers by awarding them extra financial resources for the purchase of necessary goods, supplies, equipment, and vehicles. Such deliverables would not have been possible without this source of funding. In addition, the City of Montgomery has begun laying the groundwork for a new homelessness strategy plan scheduled to launch in 2025 and will be designed to engage the community in a cooperative process resulting in an implementable plan to compliment HUD ESG funding. The plan is being developed by the City of Montgomery’s Homeless Task Force in coordination with the Community Development Division of the City of Montgomery, Alabama. The plan will also strengthen our Continuum of Care and HMIS partners. The City’s one-year goals throughout the current 5-Year Consolidated Plan will continue to focus on providing better services and opportunities in an effort to move homeless individuals and families to stable and permanent housing.

As a result of the growth of the homeless population in Downtown Montgomery, the Community Development Department has continued to develop the Emergency Downtown Homelessness Response Plan. The plan which will be implemented in the summer of 2025 is being developed in response to comments from business owners whose customer base (to include tourists) are being directly affected. The Community Development Division, working with local homeless providers, will continue to provide basic hygiene services to the downtown homeless population to include access to mobile shower and bathroom facilities as well as laundry stations. The plan will also include other supportive services such as food, clothing, and instructions to receive other social services through additional outreach providers.

The City of Montgomery is a supportive partner of the City’s Continuum of Care (CoC) – Mid-Alabama Coalition for the Homeless (MACH). The CoC coordinates with homeless shelter providers and has developed a collaborative system to reach out to those experiencing homelessness. As the CoC, MACH is responsible for examining homelessness issues; devising methods to alleviate homelessness; providing services to the homeless and to those at risk of becoming homeless; and, conducting an annual survey of homeless individuals within its geographic boundaries. MACH accomplishes planning, coordination, proposed project prioritization, gap analysis, and other HUD required tasks. As a result of MACH’s planning and coordination activities, local agencies are eligible to receive HUD funding to serve homeless individuals within their service areas providing approximately \$2 million in federal funding each year.

MACH, along with HandsOn River Region, operates the 2-1-1 Connects system, a statewide network of

regional call centers whose mission is to provide easy access to health and human services available throughout Alabama. The first call center was established in Montgomery in 2002 and the network has grown to provide services to all Alabama residents. The 2-1-1 Connects System provides information to residents (including the homeless) on utility assistance, food pantries, tax preparation assistance, rent payment assistance, and homeless shelters. Information from 2-1-1 is coordinated and systematically integrated into the Homeless Management Information System (HMIS) tracking individuals and families seeking homelessness assistance to provide quality assistance to clients. The City consistently supports MACH and HandsOn River Region with funds from its CDBG and ESG programs.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

Persons experiencing a housing crisis will continue to receive effective housing solutions through 2-1-1 Connects, the HMIS system, and/or the CoC network in general. These solutions will provide stable, affordable, healthy, and safe housing during the post-pandemic environment. The transitional housing providers, emergency shelters, permanent supportive housing providers, and public housing providers have created a collaborative network, which is designed to meet the needs of clients in a post pandemic environment. The members of this collaboration coordinate activities to ensure clients' needs are comprehensively met.

### **Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

The Continuum of Care (CoC) has developed and refined its discharge policy as it relates to helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are being discharged from publicly-funded institutions such as health care facilities, mental health facilities, and correctional institutions. The Continuum of Care, Committee of the Mid-Alabama Coalition for the Homeless conducted a review of the appropriate discharge policies impacting the Montgomery area. The policy calls for a lead agency from the Coalition's membership to monitor and coordinate the discharge practices within the following institutional categories - Alabama Department of Corrections, Montgomery County Youth Facility, Alabama Department of Mental Health and Mental Retardation, Alabama Department of Human Resources, and a VA hospital. Special attention is given to ensure that transition from homelessness to permanent housing is conducted in a manner that is healthy and safe.

### **Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities,**

**foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

Since 2004, the City of Montgomery’s “Montgomery Area Blue Print” has served as the guiding document and platform for addressing the issues of homelessness in Montgomery. As previously mentioned, the Blue Print has been successful yet continuously under revision to include such considerations as those due to the COVID-19 pandemic. The City, in coordination with MACH, will develop a new homeless strategy plan that will encompass local homeless providers and previously engaged organizations such as the Alabama Department of Corrections, the Montgomery County Youth Facility, Alabama Department of Mental Health and Mental Retardation, Alabama Department of Human Resources, VA hospital, etc. Each of these providers have their own method of discharge. The City’s Continuum of Care has performed exceptionally well in monitoring the effectiveness of these agencies’ discharge procedures in preventing homelessness by communicating and assessing persons who may become homeless prior to their release from institutional settings. One of the main objectives of the City’s new homeless strategy plan and the homelessness task force is to increase communication and coordination between all organizations and agencies, which provide or provide homelessness reduction activities in an effort to move homeless individuals to transitional and permanent housing.

## **Discussion**

## **AP-75 Barriers to affordable housing – 91.220(j)**

### **Introduction:**

The public sector can affect affordability in the housing market through policies on things such as zoning, building codes, infrastructure improvements, and implemented planning and economic development activities. Affordability of housing is more indirectly affected by availability and costs of utilities; road construction and maintenance; property taxes; insurance; availability of transportation; and homeowner and renter knowledge of housing contractual practices. Currently, inflation is causing unprecedented pressure on costs for both building materials and labor. Such pressure and other economic factors have negatively affected the availability and timeliness of funding for local affordable housing initiatives, which typically supplement the City of Montgomery's federal allocations. The availability of traditional sources of funding such as Low Income Housing Tax Credits (LIHTC) and private equity funds have been negatively influenced.

### **Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

The City will strive to continue directing proactive financial and technical assistance to affordable housing occupants and developments, as well as encouraging non-profit community housing development organizations and/or for-profit developers that are experienced in providing quality affordable units. The City will also continue to maintain a current inventory of available vacant lots for the construction of affordable new infill housing, and even to donate such parcels when applicable.

The City continues to monitor relevant public policies to safeguard against unintended effects which might create or increase barriers to affordable housing; and, the City remains committed to the principle of non-discrimination against all protected classes, particularly regarding the low-moderate income populations.

The City works to preserve its affordable housing stock through property maintenance codes enforcement, supported by the City's Critical Repair ("emergency") Housing Rehabilitation Program, which helps eligible low-moderate income homeowners address enforcement requirements, with the use of CDBG funds. The City will also use gap financing provided through HUD's HOME Program to acquire and develop new affordable rental housing on an annual basis, as well as to support Community Housing Development Organizations (CHDOs) in their efforts to promote affordable housing, through limited operating cost assistance grants.

### **Discussion:**



## **AP-85 Other Actions – 91.220(k)**

### **Introduction:**

The City of Montgomery has identified a number of measures it will take to address obstacles to meeting underserved needs, foster and maintain affordable housing, reduce lead-based paint hazards, reduce the number of families living below the poverty level, develop institutional structure, and enhance coordination between public and private housing and social service organizations.

### **Actions planned to address obstacles to meeting underserved needs**

As a part of the consolidated planning cycle for PY 2020-2024, the City of Montgomery will continue to evaluate underserved populations identified in its Analysis of Impediments to Fair Housing. To reduce the number of obstacles keeping the City of Montgomery from meeting the needs of the underserved populations in the community and help improve service delivery, the City's Community Development Division will assist with facilitating more city-wide collaborations in coordinating the work of social service organizations, eliminating duplication of efforts, spearheading community-wide solutions to local needs and disseminating information, news, and data that will assist all participant organizations a part of this collaborative effort.

### **Actions planned to foster and maintain affordable housing**

The City of Montgomery will continue to invest HOME, HOME-ARP, and CDBG grant funds into programs that promote affordable housing and that preserve existing homeowner units, for LMI households. Although affordable rental housing is a priority focus, the City's goal is to promote and support programs that include opportunities for tenants to become successful homeowners. In addition, during this Consolidated Plan period, the City of Montgomery will be increasing the retention of affordable units in the local housing stock by making funds available to current LMI homeowners for critically needed repairs, who might not otherwise be able to afford to obtain them, thus preserving their housing asset. The City of Montgomery will also continue to seek collaborative partnerships with developers, non-profit, for-profit, and private entities to construct new affordable housing units, and/or to acquire and rehabilitate existing properties to make them available as affordable housing.

### **Actions planned to reduce lead-based paint hazards**

Through its CDBG Homeowner Rehabilitation Program, the PJ takes every opportunity to offer assistance (where applicable) to assist tenants and homeowners to reduce existing hazards caused by lead based paint in houses built prior to January 1st, 1978. However, because the program is designed to make critical repairs only, current rehab program policy typically allows assistance only to properties that are exempt from requirements of the Lead Safe Housing Rule provided at 24 CFR 35.115. Any non-exempt units that might otherwise be assisted by the PJ with federal funds will be tested by qualified professionals for lead paint hazards, and certified contractors will be hired for remediation of lead

hazards. HUD also awarded the Department of Community Development a Lead Capacity Building Grant to reduce lead based paint hazards and the subrecipient of those funds is also being awarded CDBG funds to rehabilitate owner occupied single family homes for low-moderate income households.

The City of Montgomery will also continue to educate all recipients of HOME and CDBG funds on the hazards and dangers of lead based paint, and it requires its rehabilitation Subrecipients and/or contractors to provide HUD-approved documentation to homeowners, informing them on how to prevent lead from becoming a health concern in the home.

### **Actions planned to reduce the number of poverty-level families**

In an effort to reduce the number of poverty-level families, the City of Montgomery plans to reduce the number of poverty-level residents by actively continuing to participate in a Continuum of Care, in which elected officials and City staff will ensure availability of services and programs offered, such as: transitional housing opportunities, homeless navigation services, and gender-oriented shelters. The City has consistently over the past years funded organizations with anti-poverty strategies in their programs to include crisis services, transitional services, and long-term support for homeless individuals.

### **Actions planned to develop institutional structure**

The City of Montgomery will continue to be a strong partner with the Mid-Alabama Coalition for the Homeless (MACH) which serves as the Continuum of Care (CoC) for the City of Montgomery and Montgomery County. The City will continue to work with the CoC in a concerted effort to strengthen institutional structure established by the CoC.

### **Actions planned to enhance coordination between public and private housing and social service agencies**

The City will continue to promote housing and service agency collaboration by supporting its two certified Community Housing Development Organizations in their corporate missions, for each of which, its Articles of Incorporation combines the provision social services with development of affordable housing. The PJ is currently working with the local Continuum of Care provider in the process of planning and developing its HOME-ARP Allocation Plan for implementing its recent award of \$3.3 Million in HOME-ARP dollars from HUD. The new program will target combined housing and social service needs to benefit certain specific qualifying populations, including those that are homeless or at greatest risk of becoming homeless.

### **Discussion:**

## Program Specific Requirements

### AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

#### Introduction:

During PY 2024, the City of Montgomery will not have CDBG projects that include additional funding sources such as program income, Section 108 loan guarantees, etc. Although during the 2020-2024 Plan period the City does not have plans to use HOME funds for homeownership, refinancing existing properties, or owner-occupied rehab programs, this section will discuss the City’s policies for such other forms of investments, including its adopted guidelines for resale and recapture; assurances of HOME program affordability; and refinance of existing debt secured by multifamily housing that is rehabilitated with HOME funds. For the ESG program, this section will discuss written standards for providing ESG assistance, coordinated assessment system with the local Continuum of Care, the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations, plan for reaching out to and consulting with homeless or formerly homeless individuals if the jurisdiction is unable to meet the homeless participation requirement, and performance standards for evaluating ESG. Information contained in this particular section is discussed further in their respective areas of the Action Plan.

#### Community Development Block Grant Program (CDBG)

##### Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>0</b>

#### Other CDBG Requirements

1. The amount of urgent need activities	0
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2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan. 70.00%

**HOME Investment Partnership Program (HOME)  
Reference 24 CFR 91.220(I)(2)**

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

Beyond eligible activities and forms of assistance identified at Section 92.205, the PJ may pursue and seek to invest other resources as leverage for the investment of HOME funds. Some examples are as follows:

- Continued awards to owner/developers by the Alabama Housing Finance Agency (AHFA) of Low Income Housing Tax Credits (LIHTC) for affordable housing planned for development on sites that correspond with local Consolidated Plan and Action Plan needs;
- Eligible HOME Match contributions from non-federal resources, such as (1) donation of excess City-owned real property; (2) waivers of building permit fees; donation or sale of property below market value for development as affordable housing; (3) discounts to developers obtained through below-market interest rates on HOME project debt;
- Requirement that all HOME funded affordable housing developers must invest funds from their own resources as their reasonable ‘fair share’ contribution to the cost of housing development and/or CHDO operations within the jurisdiction;
- Investment, from time to time, of other available federal funds such as HTF, HOME-ARP, or CDBG, in eligible activities to supplement HOME development projects; such activities might include housing that is reserved for extremely low-income tenants, and/or with appropriate services for qualifying populations to address homelessness, or infrastructure improvements.
- During PY 2024, the City of Montgomery does not plan to use HOME Program funds in an investment not described in 92.205 that requires the written approval of HUD.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Historically, the City of Montgomery has elected to recapture HOME funds invested in any homebuyer activities. Although the PJ does not offer any form of homebuyer program in its PY 2020-2024 Consolidated Plan, the adopted policy under such a program remains as follows, until

otherwise noted in its adopted Standard Operating Policies and Procedures (SOP):

All City HOME assisted homebuyer transactions must include a direct Buyer Subsidy of at least \$1,000. To ensure this, either the sales price for each unit will be at least \$1,000 below appraised fair market value after construction, or other forms of HOME assistance of at least \$1,000 to the buyer must be included, i.e., down payment assistance, etc. Upon close of sale, the City Grants Administrator will prepare and execute a separate written HOME Housing Assistance agreement with the homebuyer that will, at a minimum, comply with the requirements at §92.504(c)(5) of the HOME rule. Every City of Montgomery HOME Program homebuyer assistance agreement will state clearly that the unit being purchased is subject to affordability Recapture provisions, and will include a copy of the policies and procedures for implementing the provisions as published in the City's current Consolidated Plan.

The HOME assistance agreement will employ publicly recorded mechanisms for enforcing the affordability period and Recapture provisions through a Recapture Mortgage Lien and Promissory Note; although, in some cases the City may use deed restrictions, covenants running with the land, or other similar mechanisms. If a homebuyer should cease to be in compliance with HOME requirements prior to expiration of the applicable Affordability Period, the City will recapture the entire direct HOME Subsidy invested in the property whenever possible, before the homebuyer receives a return. The amount recaptured by the City is limited to the amount of total net proceeds available from the sale of the property. In some cases, the net proceeds available at the time of sale may be insufficient to recapture the entire direct HOME subsidy provided to the homebuyer. In such case, since the HOME rule limits recapture to available net proceeds, the City will only recapture what is available from the net proceeds.

The form of the total amount of HOME direct Buyer Subsidy (i.e., the total of all Buyer Subsidy, Down Payment Assistance, and/or other funds subject to affordability restrictions) will be as a deferred forgivable loan secured by the Recapture Mortgage Lien and Note. The principal balance of the loan is reduced during the Affordability Period to a zero balance on a *pro rata* basis per year over the length of the Affordability Period, as allowed at 24 CFR 92.254(a)(5)(ii)(A)(2).

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Guidelines for resale or recapture of HOME assistance for City of Montgomery acquisition of units for affordable housing are identical to those as stated above for HOME assistance to homebuyers. The buyer, whether homebuyer, developer, Subrecipient, CHDO, or other owner, as the case may be, receiving HOME funds for acquisition of units must sign a written HOME assistance agreement in which the above terms for Recapture provisions are clearly stated. Periods of affordability will be determined and incorporated into the agreement using a recorded Recapture Mortgage Lien and

Promissory Note according to the amount of HOME funds provided per unit by the PJ as follows:

- Under \$15,000: 5 years
  - From \$15,000 to \$40,000: 10 years
  - Above \$40,000: 15 years
4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

The City of Montgomery currently has no history or future plans related to using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds. Therefore, this activity is not a part of the City's Standard Operating Procedures & Policies manual. Should the PJ consider participating in the activity during the PY 2020-2024 Consolidated Plan period, it will create guidelines in accordance with the requirements of Section 92.206(b) and formally adopt them as part of the City's written policies and procedures.

5. If applicable to a planned HOME TBRA activity, a description of the preference for persons with special needs or disabilities. (See 24 CFR 92.209(c)(2)(i) and CFR 91.220(l)(2)(vii)).

The PJ has no planned HOME TBRA activity in its current Consolidated Plan.

6. If applicable to a planned HOME TBRA activity, a description of how the preference for a specific category of individuals with disabilities (e.g. persons with HIV/AIDS or chronic mental illness) will narrow the gap in benefits and the preference is needed to narrow the gap in benefits and services received by such persons. (See 24 CFR 92.209(c)(2)(ii) and 91.220(l)(2)(vii)).

The PJ has no planned HOME TBRA activity in its current Consolidated Plan.

7. If applicable, a description of any preference or limitation for rental housing projects. (See 24 CFR 92.253(d)(3) and CFR 91.220(l)(2)(vii)). Note: Preferences cannot be administered in a manner that limits the opportunities of persons on any basis prohibited by the laws listed under 24 CFR 5.105(a).

Preference may be given to rental developments funded by the PJ that are specifically dedicated to elderly persons, subject to exemptions to the Fair Housing Act as set forth at Subpart E—Housing for Older Persons, 24 CFR 100.300, and not prohibited by the laws listed under 24 CFR 5.105(a).

**Emergency Solutions Grant (ESG)  
Reference 91.220(l)(4)**

1. Include written standards for providing ESG assistance (may include as attachment)

During PY 2024, the City of Montgomery will be able to support its homeless shelter providers. The following standards shall apply:

The City will distribute ESG funds to local private nonprofit organizations for the following eligible ESG Program activities: 1. Street Outreach, 2. Emergency Shelter, 3. Homelessness Prevention, 4. Rapid Re-Housing Assistance, 5. Homeless Management Information System (HMIS). The total amount that may be used for street outreach and emergency shelter will not exceed 60% of the City's fiscal year grant or the amount of FY20 grant funds committed for homeless assistance activities, whichever is greater.

**Grant Requirements for the ESG Program**

All ESG grants will be administered in compliance with the regulations at 24 CFR Part 576- and/or- HEARTH regulations. Any private nonprofit organization within the local area is eligible to apply for funding through the City's ESG program for eligible activities. Funds will be obligated to recipients in accordance with HEARTH program deadlines. Programs funded will operate in accordance with the HUD approved program year, beginning on May 1st. Any building for which emergency shelter grants are used must meet the following: **a. Rehabilitation:** (1). In cases other major rehabilitation or conversions, must be maintained as a shelter for the homeless for not less than a three year period; **b. Major Rehabilitation or Conversion:** (2). Must be maintained as a shelter for not less than a 10 year period if the rehabilitation costs exceeds 75 percent of the value of the building before rehabilitation; **c. Any building which is used for housing or shelter must meet HEARTH standards for suitability and habitability and** **d. If funds are used for the provision of services, maintenance or operating costs, the building must continue to be used as shelter for the duration of the grant agreement.**

**Method of Distribution for the ESG Program**

Funds made available to the City of Montgomery by the U.S. Department of Housing and Urban Development for the Emergency Shelter Grants Program will be administered by the **City of Montgomery, Department of Community Development, Community Development Division, 25 Washington Avenue - 4th Floor, Montgomery, AL 36104**. In accordance with 24 CFR Part 576.108, up to 7.5% of allocated funds may be set aside for program administration and eligible administrative costs. At the discretion of the Community Development Division, funds may, in whole or in part, be made available to fund emergency situations serving the homeless, including emergency winter shelter needs. Administration funds will be shared with local agencies to the

extent that they participate in administering the program.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

The City will consult with the Montgomery Area Coalition for the Homeless (MACH) in coordination with the COC to ensure integrating ESG assistance with programs targeted towards homeless through mainstream services and assistance programs. To ensure these activities are undertaken, the City with the MACH participation will (1) Determine how to allocate ESG funds; (2) Develop the performance standards for projects assisted by ESG funds; (3) Evaluate the outcomes of projects assisted by ESG funds; (4) Develop funding, policies, and procedures for the operation and administration of the Homeless Management Information System (HMIS); and (5) Provide at least one homeless or formerly homeless individual for participation on the board of directors, or other policy making entity.

In association with the Montgomery Area Coalition for the Homeless (MACH) through the Continuum of Care (COC) any private non-profit agency within the city limits of Montgomery is eligible to apply for funding. There is no restriction on the maximum amount an applicant may request. Funds will be granted to nonprofit organization only when the MACH certifies that it approves the proposed project, the applying agency is a member of the COC, and the applying agency participates in HMIS. To effectively ensure the ESG reaches out to the homeless population, the City in coordination with MACH and the COC will coordinate and integrate other targeted homeless services. This community-wide approach will include but not be limited to the following: (1) Shelter plus Care Programs; (2) Supportive Housing Programs; (3) Veterans Affairs Supportive Housing (HUD-VASH); (4) Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, and Stalking; and (5) Emergency Food and Shelter Program.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

Funds will be distributed utilizing a common application and cycle. A competitive application process will be in place to allow each agency throughout the City to seek ESG funds to operate. This application will ensure all agencies provide the required documentation allowing the City to select the best applicant for funding. The Community Development Division in coordination with MACH will form an application review board to screen applicants. Additional review board members may include a current/formerly homeless person. All proposals will be evaluated by the review board. The City's Community Development Division will coordinate their selections with the Montgomery City Council, with final approval from the Mayor of Montgomery.

At the discretion of the City, funding may not be awarded to an ESG recipient who has a serious, outstanding audit or monitoring finding involving the potential for significant monetary restitution, non-responsiveness, or non-responsible performance on any previous funded ESG grant.



Applications will be rated on the following criteria: (1) Number of clients served; (2) Population served; (3) Services provided; (4) Location of shelter; (5) Consideration will be given to program effectiveness in meeting adopted performance measures as outlined in the application packet; (6) Consideration will be given to those agencies which have been effective in their discharge of clients/consumers; (7) Capacity; (8) Other funding sources; (9) Past performance; (10) Most current certified independent audit; and (11) Assurances, certifications, current board roster, and support documentation. Following the rating and review process, the Community Development Office will provide recommended funding selections to the Mayor of Montgomery, who will then authorize funding awards.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The City of Montgomery meets the homeless participation requirement found in 24 CFR 576.405(a) through the Mid-Alabama Coalition for the Homeless (MACH)'s Continuum of Care. Several organizations representing the homeless were contacted for input during the planning process and the public meeting was advertised and homeless persons were informed of the meeting at the time of their housing and social services were provided. Homeless participation will continue to be secured through consultation with MACH.

5. Describe performance standards for evaluating ESG.

The City of Montgomery does not prioritize assistance through the ESG program. Clients are served as received. Per 24 CFR 576.401, ESG sub-recipients must conduct an initial evaluation to determine each individual or family's eligibility for ESG assistance and the amount and types of assistance the individual or family needs to regain stability in permanent housing. All ESG sub-recipients will follow federal documentation guidelines to establish the client's status as homeless or at-risk of homeless and their income eligibility. These evaluations must be conducted in accordance with the centralized or coordinated assessment requirements set forth under §576.400(d).

The City of Montgomery is currently working with the Mid-Alabama Coalition for the Homeless (MACH)'s Continuum of Care (CoC) to develop common intake and assessment tools and protocols to be used by all agencies that receive ESG, CoC and other homeless program funding from the city and within the Continuum. The tools and protocols will create consistency in client intake and assessment and provide basis for appropriate agency referral and to develop targeting and prioritization protocols.

ESG sub-recipients must re-evaluate program participant's eligibility and the types and amounts of assistance the participant needs; 1. Not less than once every 3 months for participants who are receiving homelessness prevention assistance, and 2. Not less than once annually for participants

who are receiving rapid re-housing assistance. Re-evaluation of program participants may be conducted more frequently than required by 24 CFR 576.401 and may be incorporated into the case management process which must occur not less than monthly for homeless prevention and rapid re-housing participants – See 24 CFR 576.401(e) (i). Regardless of which timeframe is used, re-evaluations, must at minimum, establish that:

1. The program participant does not have an annual income that exceeds 30 percent of median family income for the area, as determined by HUD; and the program participant lacks sufficient resources and support networks necessary to retain housing without ESG assistance. To determine if an individual or family is income eligible, the sub-recipient must examine an individual or family's annual income to ensure that it does not exceed the most current HUD income limits applicable to the City of Montgomery. Note: Annual income must be below 30% at the time of the initial evaluation. When the program participant's income or other circumstances change, such as change in household composition, that affects the program participant's need for assistance under ESG, the sub-recipient must then re-evaluate the program participant's eligibility and the amount and types of assistance the program participant needs.

## Attachments

**Grantee Unique Appendices**

**Grantee Unique Appendices**

**Grantee Unique Appendices**

**Grantee Unique Appendices**

**Grantee Unique Appendices**



**Grantee SF-424's and Certification(s)**

OMB Number: 4040-0004  
Expiration Date: 11/30/2025

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): _____ * Other (Specify): _____
* 3. Date Received: _____	4. Applicant Identifier: S-24-MC-01-0007	
5a. Federal Entity Identifier: _____	5b. Federal Award Identifier: _____	
<b>State Use Only:</b>		
6. Date Received by State: _____	7. State Application Identifier: _____	
<b>8. APPLICANT INFORMATION:</b>		
* a. Legal Name: City of Montgomery		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 63-6001323	* c. UEI: SL6GNV2YDR8T	
<b>d. Address:</b>		
* Street1: P.O. Box 1111	Street2: _____	
* City: Montgomery	County/Parish: Montgomery	
* State: AL: Alabama	Province: _____	
* Country: USA: UNITED STATES	* Zip / Postal Code: 36101-1111	
<b>e. Organizational Unit:</b>		
Department Name: Community Development	Division Name: Community Development	
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
Prefix: Mr.	* First Name: Desmond	Middle Name: _____
* Last Name: Wilson	Suffix: _____	
Title: Director of Community Development		
Organizational Affiliation: City of Montgomery		
* Telephone Number: 334-625-2735	Fax Number: 334-625-4432	
* Email: dwilson@montgomeryal.gov		

Application for Federal Assistance SF-424	
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="C: City or Township Government"/>	
<b>Type of Applicant 2: Select Applicant Type:</b> <input type="text"/>	
<b>Type of Applicant 3: Select Applicant Type:</b> <input type="text"/>	
<b>* Other (specify):</b> <input type="text"/>	
<b>* 10. Name of Federal Agency:</b> <input type="text" value="U.S. Department of Housing and Urban Development (HUD)"/>	
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text" value="14.218"/>	
<b>CFDA Title</b> <input type="text" value="Community Development Block Grant (CDBG)"/>	
<b>* 12. Funding Opportunity Number:</b> <input type="text" value="14.218"/>	
<b>* Title:</b> <input type="text" value="Community Development Block Grant (CDBG)"/>	
<b>13. Competition Identification Number:</b> <input type="text"/>	
<b>Title:</b> <input type="text"/>	
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>* 15. Descriptive Title of Applicant's Project:</b> <input type="text" value="Montgomery's CDBG entitlement funds are earmarked to continue to provide effective programming, monitoring, and management of activities meeting a CDBG national objective."/>	
<b>Attach supporting documents as specified in agency instructions.</b> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant:  \* b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

**17. Proposed Project:**

\* a. Start Date:  \* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="1,757,565.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="1,757,565.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes  No

If "Yes", provide explanation and attach

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)**

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.


**Authorized Representative:**

Prefix:  \* First Name:   
 Middle Name:   
 \* Last Name:   
 Suffix:

\* Title:

\* Telephonic Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:  \* Date Signed:

APPROVED AS TO FORM  
*Stacy Bellinger* (AT)  
 City Attorney  
 City of Montgomery

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0309  
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

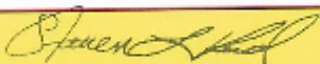
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4901 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-618), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Previous Edition Usable

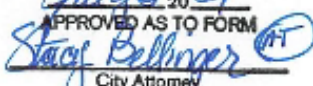
Authorized for Local Reproduction

Standard Form 424D (Rev. 7-87)  
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7326) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §275c and 16 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 105(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Mayor
APPLICANT ORGANIZATION City of Montgomery	DATE SUBMITTED 07/03/2024

SF-424D (Rev. 7-97) Back

July 3, 2024  
APPROVED AS TO FORM  
  
City Attorney  
City of Montgomery

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text"/>	4. Applicant Identifier: <input type="text" value="M-24-MC-01-0204"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
State Use Only:		
8. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
<b>B. APPLICANT INFORMATION:</b>		
* a. Legal Name: <input type="text" value="City of Montgomery"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="53-5031323"/>	* c. UEI: <input type="text" value="SLRCW5YDRP7"/>	
d. Address:		
* Street1: <input type="text" value="P.O. Box 1111"/>	Street2: <input type="text"/>	
* City: <input type="text" value="Montgomery"/>	County/Parish: <input type="text" value="Montgomery"/>	
* State: <input type="text" value="AL: Alabama"/>	Province: <input type="text"/>	
* Country: <input type="text" value="USA: UNITED STATES"/>	* Zip / Postal Code: <input type="text" value="36101-1111"/>	
e. Organizational Unit:		
Department Name: <input type="text" value="Community Development"/>	Division Name: <input type="text" value="Community Development"/>	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text" value="Mr."/>	* First Name: <input type="text" value="Desmond"/>	Middle Name: <input type="text"/>
* Last Name: <input type="text" value="Wilson"/>	Suffix: <input type="text"/>	
Title: <input type="text" value="Director of Community Development"/>		
Organizational Affiliation: <input type="text" value="City of Montgomery"/>		
* Telephone Number: <input type="text" value="334-825-2735"/>	Fax Number: <input type="text" value="334-825-4432"/>	
* Email: <input type="text" value="dwilson@montgomeryal.gov"/>		

Application for Federal Assistance SF-424	
<p><b>* 9. Type of Applicant 1: Select Applicant Type:</b></p> <input type="text" value="City or Township Government"/> <p>Type of Applicant 2: Select Applicant Type:</p> <input type="text"/> <p>Type of Applicant 3: Select Applicant Type:</p> <input type="text"/> <p>* Other (specify):</p> <input type="text"/>	
<p><b>* 10. Name of Federal Agency:</b></p> <input type="text" value="U.S. Department of Housing and Urban Development (HUD)"/>	
<p><b>11. Catalog of Federal Domestic Assistance Number:</b></p> <input type="text" value="14.239"/> <p>CFDA Title:</p> <input type="text" value="HOME Investment Partnership Program (HOME)"/>	
<p><b>* 12. Funding Opportunity Number:</b></p> <input type="text" value="14.239"/> <p>* Title:</p> <input type="text" value="HOME Investment Partnership Program (HOME)"/>	
<p><b>13. Competition Identification Number:</b></p> <input type="text"/> <p>Title:</p> <input type="text"/>	
<p><b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b></p> <input type="text"/> <div style="display: flex; justify-content: space-around;"> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> </div>	
<p><b>* 15. Descriptive Title of Applicant's Project:</b></p> <input type="text" value="Promote and implement the development of affordable housing."/>	
<p>Attach supporting documents as specified in agency instructions.</p> <div style="display: flex; justify-content: space-around;"> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> </div>	

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant:  \* b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed:

**17. Proposed Project:**

\* a. Start Date:  \* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	852,713.98
* b. Applicant	
* c. State	
* d. Local	
* e. Other	
* f. Program Income	
* g. TOTAL	852,713.98

\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

Yes  No

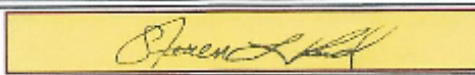
If "Yes," provide explanation and attach:

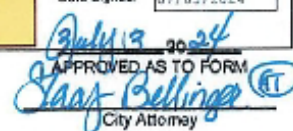
21. "By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:   
Middle Name:   
\* Last Name:   
Suffix:   
\* Title:   
\* Telephone Number:  Fax Number:   
\* Email:   
\* Signature of Authorized Representative:  \* Date Signed:

APPROVED AS TO FORM  
  
City Attorney



ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 02/28/2026

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

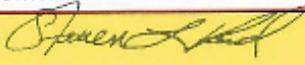
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4763) relating to prescribed standards of merit systems for programs funded under one of the 18 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1688), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-610), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

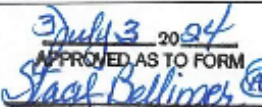
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Standard Form 424D (Rev. 7-97)  
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7326) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 105(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Mayor
APPLICANT ORGANIZATION City of Montgomery	DATE SUBMITTED 07/03/2024

  
 APPROVED AS TO FORM  
 Stadt Bellinger (AT)  
 City Attorney  
 City of Montgomery

SF-424D (Rev. 7-97) Back

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate label(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text"/>	4. Applicant Identifier: <input type="text" value="E-24-WC-01-9085"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
8. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text" value="City of Montgomery"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="63-6001323"/>	* c. UEI: <input type="text" value="S1L6KVV2YDR9T"/>	
d. Address:		
* Street1: <input type="text" value="P.O. Box 1111"/>	Street2: <input type="text"/>	
* City: <input type="text" value="Montgomery"/>	County/Parish: <input type="text" value="Montgomery"/>	
* State: <input type="text" value="AL: Alabama"/>	Province: <input type="text"/>	
* Country: <input type="text" value="USA: UNITED STATES"/>	* Zip / Postal Code: <input type="text" value="36101-1111"/>	
e. Organizational Unit:		
Department Name: <input type="text" value="Community Development"/>	Division Name: <input type="text" value="Community Development"/>	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text" value="Mr."/>	* First Name: <input type="text" value="Desmond"/>	Middle Name: <input type="text"/>
* Last Name: <input type="text" value="Wilson"/>	Suffix: <input type="text"/>	
Title: <input type="text" value="Director of Community Development"/>		
Organizational Affiliation: <input type="text" value="City of Montgomery"/>		
* Telephone Number: <input type="text" value="334-625-2735"/>	Fax Number: <input type="text" value="334-625-4932"/>	
* Email: <input type="text" value="dwilson@montgomeryal.gov"/>		

Application for Federal Assistance SF-424	
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="C: City or Township Government"/> <b>Type of Applicant 2: Select Applicant Type:</b> <input type="text"/> <b>Type of Applicant 3: Select Applicant Type:</b> <input type="text"/> <b>* Other (specify):</b> <input type="text"/>	
<b>* 10. Name of Federal Agency:</b> <input type="text" value="U.S. Department of Housing and Urban Development (HUD)"/>	
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text" value="14.231"/> <b>CFDA Title:</b> <input type="text" value="Emergency Solutions Grant (ESG)"/>	
<b>* 12. Funding Opportunity Number:</b> <input type="text" value="14.231"/> <b>* Title:</b> <input type="text" value="Emergency Solutions Grant (ESG)"/>	
<b>13. Competition Identification Number:</b> <input type="text"/> <b>Title:</b> <input type="text"/>	
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>* 15. Descriptive Title of Applicant's Project:</b> <input type="text" value="ESG Program provides funding to prevent homelessness; rapidly re-house homeless individuals/families; help operate and provide essential services in emergency shelters for homeless persons/families."/>	
<b>Attach supporting documents as specified in agency instructions.</b> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**  
 \* a. Applicant:  \* b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

**17. Proposed Project:**  
 \* a. Start Date:  \* b. End Date:

**18. Estimated Funding (\$):**


* a. Federal	<input type="text" value="146,691.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="146,691.00"/>

\* 18. Is Application Subject to Review By State Under Executive Order 12372 Process?  
 a. This application was made available to the State under the Executive Order 12372 Process for review on   
 b. Program is subject to E.O. 12372 but has not been selected by the State for review.  
 c. Program is not covered by E.O. 12372.

\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)  
 Yes  No  
 If "Yes", provide explanation and attach

21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)  
 \*\* I AGREE  
 \*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:   
 Middle Name:   
 \* Last Name:   
 Suffix:   
 \* Title:   
 \* Telephone Number:  Fax Number:   
 \* Email:   
 \* Signature of Authorized Representative:  \* Date Signed:

July 3, 2024  
 APPROVED AS TO FORM  
 Stacy Bellinger  
 City Attorney

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances, if such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4753) relating to prescribed standards of merit systems for programs funded under one of the 18 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VII of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-618), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11590; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Mayor
APPLICANT ORGANIZATION City of Montgomery	DATE SUBMITTED 07/03/2024

July 3 2024  
APPROVED AS TO FORM  
Steve Bellinger (AT)  
City Attorney  
City of Montgomery

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**ASSURANCES - NON-CONSTRUCTION PROGRAMS**

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**


**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

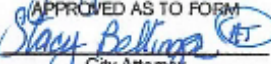
1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4783) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7326) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.



9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 16 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11890; (d) evaluation of flood hazards in floodplains in accordance with EO 11968; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
15. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Mayor
APPLICANT ORGANIZATION City of Montgomery	DATE SUBMITTED 07/03/2024

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July 3 2024  
APPROVED AS TO FORM  
  
City Attorney  
City of Montgomery

**CERTIFICATIONS**

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** --The jurisdiction will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

**Anti-Lobbying** --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-L.L.L. "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

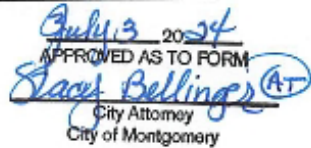
**Consistency with plan** --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

  
 \_\_\_\_\_  
 Signature of Authorized Official

07/03/2024  
 \_\_\_\_\_  
 Date

Mayor  
 \_\_\_\_\_  
 Title

  
 APPROVED AS TO FORM  
 Stacy Bellinger (AT)  
 City Attorney  
 City of Montgomery

## Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

**Following a Plan** -- It is following a current consolidated plan that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2022, 2023, 2024 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

**Compliance with Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

**Compliance with Laws** -- It will comply with applicable laws.



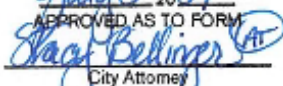
\_\_\_\_\_  
Signature of Authorized Official

07/03/2024

Date

\_\_\_\_\_  
Mayor

Title

July 3, 2024  
APPROVED AS TO FORM  
  
\_\_\_\_\_  
City Attorney  
City of Montgomery

**Specific HOME Certifications**

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

**Eligible Activities and Costs** -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

**Subsidy layering** -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;



Signature of Authorized Official

07/03/2024

Date

Mayor

Title

July 3 2024  
APPROVED AS TO FORM  
Sara Bellinger  
City Attorney  
City of Montgomery

## **Emergency Solutions Grants Certifications**

The Emergency Solutions Grants Program recipient certifies that:

**Major rehabilitation/conversion/renovation** – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

**Matching Funds** – The recipient will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.


**Consolidated Plan** – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

**Discharge Policy** – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

  
\_\_\_\_\_  
Signature of Authorized Official

07/03/2024  
Date

Mayor  
Title

  
APPROVED AS TO FORM  
Stacy Pollinger (AT)  
\_\_\_\_\_  
City Attorney  
City of Montgomery

**APPENDIX TO CERTIFICATIONS**

**INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:**

**Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.